

FORM HDP-1449 (Based on Form PTO-1449) <b>PATENT AND TRADEMARK OFFICE</b> <b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  Sheet 1 of 1	ATTORNEY DOCKET NO.	SERIAL NO.
	3711-000105	09 / 508778
	APPLICANT	
	Seifert et al	
FILING DATE	GROUP	

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		5,297,031	3/1994	Guterman et al		

**FOREIGN PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation	
						Yes	No
1.		0 401 203	12/1990	EPO			
2.		WO 96/05563	2/1996	WIPO			
3.		WO 96/34357	10/1996	WIPO			

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)**

Ref. Desig.	Examiner's Initials	
1.		"Online Stock Trading System: Study of An Application" - Computer Society International Conference. Feb. 23-27, 1987 (1 sheet) pp. 161 and 162
2.		"NORDDEX: automated trading for Nordic equities"; Computers in the City 89 - Nov. 14 - 16, 1989 - pp. 53-61.
3.		"How Computer Assisted Trading Is Making The Toronto Stock Exchange Purr"; Wall Street Computer Review - December 1987, pp. 71-77, 97
4.		Copy of International Search Report dated February 3, 1999

Examiner: /Daniel Felten/

Date Considered: 01/03/2011

EXAMINER: Please initial if citation considered; whether or not citation is in conformance with MPEP 609. Do not initial if citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

**ALL REFERENCES CONSIDERED EXCEPT WHERE FILED THROUGH T.D.F./**